



11th December 2017

To,
The Secretary
The 'Central Electricity Regulatory Commission,
3rd & 4th Floor, Chanderlok Building,
36-Janpath,
New Delhi-110001

Dear Sir,

Subject: Submission of Form-IV for the month of November 2017

We hereby would like to submit form IV indicating the transactions carried out by us for the month of November 2017.

Assuring you of our best attention,

Warm Regards,

For Atria Energy Services Pvt. Ltd.

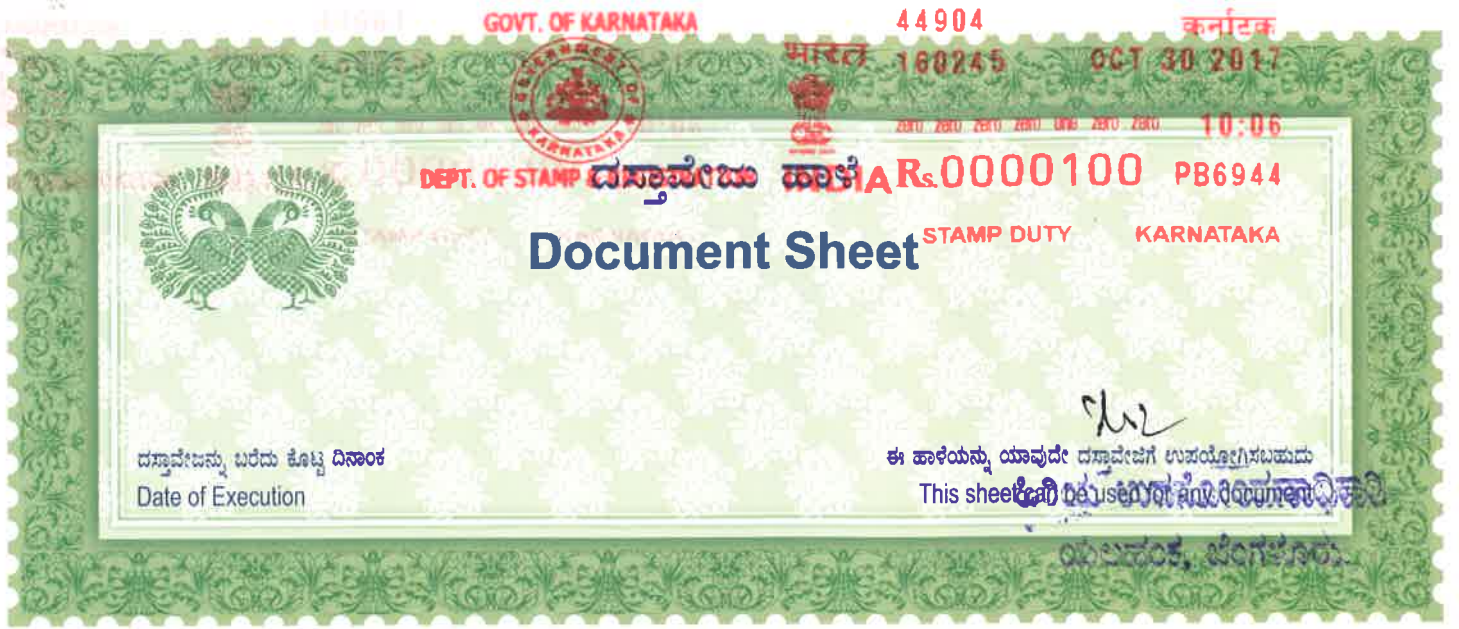

(Authorised Signatory)

ATRIA ENERGY SERVICES PRIVATE LIMITED

Regd. & Corporate Office Atria Power: 1st Floor, No.11, Commissariat Road, Bengaluru - 560025

Ph.: +91-80-080-49411411 | Fax: +91-80-080-49411499

CIN : U40107KA2016PTC094330 | www.atriapower.com | info@atriapower.com



Before the Central Electricity Regulatory Commission, New Delhi In the matter of:-

License No. 78/Trading License/2017/CERC dated 20th June 2017 granted to
Aria Energy Services Pvt. Ltd.
for Inter State Trading in Electricity.

AFFIDAVIT

I, Amit Kumar S/o Shri A.K. Thakur aged about 37 years residing at Shobha Morzaria Granduer, No- 4/1, Bannerghatta Road, Dairy Circle, Bangalore 560029 do hereby solemnly affirm and state as follows:

- I am working as Head Operations in Atria Energy Services Pvt. Ltd., the licensee, and I am duly authorized by the licensee to make this affidavit.
- That in term of the Hon'ble Commission's regulation 9(b) of the Regulations for Procedure; Terms and Conditions for grant of Trading Licence and other related matters, 2009 issued vide Notification dated 16th February, 2009, information pertaining to performance details in Form-IV are hereby enclosed here with (Form IVA, IVB, IVC and abbreviations). The information enclosed is given for the month of November 2017.

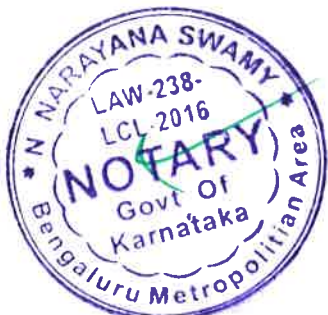
NOTARY STAMP ARE NOT SUPPLIED BY GOVT. OF KARNATAKA WITH EFFECT FROM 1-4-2003

NOTARY

[Signature]
DEPONENT

Verification

Verified at Bangalore on this 11th Day of December 2017 that the contents of the above affidavit are true to my knowledge, no part of it is false and nothing material has been concealed therein.



SWORN TO BEFORE ME
[Signature]
DEPONENT
N. NARAYANA SWAMY, B.A., LL.B.
ADVOCATE & NOTARY
No. 17, Srigandha Nilaya
Govindappa Layout Near Aruna Bar

Summary Sheet for the November 2017

Sr. No.	Formats	Type of Transactions	Page No	Transaction Status/Contract Information (Yes/No)*
1	FormIV-A	Short-term Inter-State Transactions of Electricity by Trading Licensees (RTC)	1	No
2	FormIV-B	Short-term Inter-State Transactions of Electricity by Trading Licensees (Peak)	2	No
3	FormIV-C	Short-term Inter-State Transactions of Electricity by Trading Licensees (Off-Peak)	3	No
4	FormIV-D	Long-term Inter-State Transactions of Electricity by Trading Licensees	4	No
5	FormIV-E	Intra-State Transactions of Electricity by Trading Licensees	5	Yes
6	FormIV-F	Day Ahead Power Exchange Transactions of Electricity by Trading Licensees	6	Yes
7	FormIV-G	Term Ahead Power Exchange Transactions of Electricity by Trading Licensees	7	No
8	FormIV-H	Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees	8	No
9	FormIV-I	Open Position of Inter-State Electricity Contracts by Trading Licensees	9	No
10	FormIV-J	Details of OTC Contracts executed in Inter-State	10	No

*If the transaction status is "Yes", you are required to submit the details in the prescribed format/s as provided in the trading regulations. If the transaction status is "No/Nil" then you need not submit that format/s with No/NIL reports.



For Atria Energy Services Pvt. Ltd.

Swathi
Authorised Signatory

VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (RTC*)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. & Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: November 2017

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State				
A Inter State Trading Transactions															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B Inter State Trading Transactions through Swapping or Banking Arrangement															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C Cross Border Transactions															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*RTC= Round The Clock (24 Hours i.e. From 00:00 to 24:00)



For Atria Energy Services Pvt. Ltd.

Authorised Signatory

VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (Peak**)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. & Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: November 2017

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State				
A Inter State Trading Transactions															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B Inter State Trading Transactions through Swapping or Banking Arrangement															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C Cross Border Transactions															
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

*Peak= Peak Period (Evening Peak from 17:00hrs to 23:00hrs).



For Atria Energy Services Pvt. Ltd.

Narayana Swamy
Authorised Signatory

VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES (Other than Peak & RTC)

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

License Details (No. & Date): 78/Trading License/2017/CERC, Dated: 20th June 2017

Month: November 2017

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Purchase Price (Rs Per kWh)	Sale Price (Rs Per kWh)	Trading Margin (Rs Per kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	Category	State	Name of Buyer	Category	State			
A Inter State Trading Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B Inter State Trading Transactions through Swapping or Banking Arrangement														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C Cross Border Transactions														
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A



For Atria Energy Services Pvt. Ltd.

Authorised Signatory

VOLUME AND PRICE OF ELECTRICITY TRADED BY TRADING LICENSEES

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.
 License Details (No. & Date): 78/Trading License/2017/CERC, Dated: 20th June 2017
 Month: November 2017

Sr. No.	Period of Power Delivery		Scheduled Volume (MUs)	Purchased From			Sold To			Sale Price (Rs Per kWh)
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)		Name of Seller	Category	State	Name of Buyer	Category	State	
A Inter State Trading Transactions										
1	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B Inter State Trading Transactions through Swapping or Banking Arrangement										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C Cross Border Transactions										
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A



For Atria Energy Services Pvt. Ltd.

[Signature]
 Authorised Signatory

Form IV-E

Intra-State Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

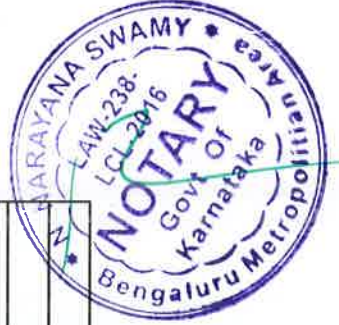
Month: November 2017

Sr. No.	Total Transacted	
	Volume (Mus)	State
Short Term transactions		
1	N/A	N/A
2	N/A	N/A
3	N/A	N/A
4	N/A	N/A
Long Term Transactions		
1	0.280	Karnataka
2	0.087	Karnataka
3	0.013	Karnataka
4	0.700	Karnataka
5	0.500	Karnataka
6	0.790	Karnataka
7	0.525	Karnataka
8	1.250	Karnataka
9	0.450	Karnataka
10	1.200	Karnataka
11	0.600	Karnataka
12	1.350	Karnataka

For Atria Energy Services Pvt. Ltd.

[Handwritten Signature]

Authorised Signatory



Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.
Month: November 2017

Sr.No	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased from		Sold to	
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State
1	01-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
2	02-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
3	03-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
4	04-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
5	05-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
6	06-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
7	07-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
8	08-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
9	09-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
10	10-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
11	11-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
12	12-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
13	13-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
14	14-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
15	15-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
16	16-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
17	17-11-2017	0.00310000	IEX	N/A	Open Access Consumer	Andhra Pradesh
18	18-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
19	19-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
20	20-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
21	21-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
22	22-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
23	23-11-2017	0.00355000	IEX	N/A	Open Access Consumer	Andhra Pradesh
24	24-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
25	25-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
26	26-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
27	27-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
28	28-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
29	29-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh
30	30-11-2017	0.00000000	IEX	N/A	Open Access Consumer	Andhra Pradesh

Sr No	Name of the Client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to Rs 3/kwh (Rs/kWh)	Margin charged when MCP is greater than Rs 3/kwh (Rs/kWh)	Margin charged when MCP is lesser than or equal to Rs 3/kwh (Rs/kWh)	Margin charged when MCP is greater than Rs 3/kwh (Rs/kWh)
1	Open Access Consumer	≤ 0.04	≤ 0.04	N/A	N/A

For Atria Energy Services Pvt. Ltd.

[Signature]
Authorised Signatory



Form IV-G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.
 Month: November 2017

Sr.No	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from		Sold to		Transaction Price (Rs/Kwh)	Trading Margin (Rs/kWh)	
	Start date (DD/MM/YYYY)	End date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of Seller	State	Name of Buyer	State			
A	Intra-Day Contracts											
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
B	Day-Ahead Contingency Contracts											
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
C	Daily Contracts											
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
D	Weekly Contracts											
1	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
2	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	
3	N/A	N/A	N/A	N/A	NIL	N/A	N/A	N/A	N/A	N/A	N/A	



For Atria Energy Services Pvt. Ltd.
[Signature]
 Authorised Signatory

Form IV-H

Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Date of Trading :

Sr. No.	Name of REC Client	Client Type (Renewable generator/ Obligated Entities)	Name of Power Exchange	Volume (RECs)	Market Clearing Price (Rs/REC)	Trading Margin (Rs/kWh)
Non Solar RECs						
1						
2						
3						
4						
5						
6						
7						
8						
9						
Obligated Entities						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

For Atria Energy Services Pvt. Ltd.

[Handwritten Signature]
Authorised Signatory



Form IV-I

Open Position of Inter-State Electricity Contracts by Trading Licensees

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

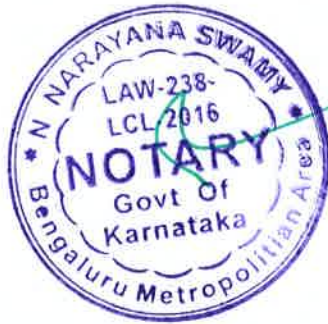
Month: November 2017

Long Position of Trader (Purchased but not sold)				
Sr.No	Date of Contract (DD/MM/YYYY)	Uncontracted tenure (open position) in Days/Months	Uncontracted Volume (MUs)	Purchase Price (Rs/kwh)
1	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A
4	N/A	N/A	N/A	N/A

Short Position of Trader (Purchased but not sold)				
Sr.No	Date of Contract (DD/MM/YYYY)	Uncontracted tenure (open position) in Days/Months	Uncontracted Volume (MUs)	Purchase Price (Rs/kwh)
1	N/A	N/A	N/A	N/A
2	N/A	N/A	N/A	N/A
3	N/A	N/A	N/A	N/A
4	N/A	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.

[Signature]
Authorized Signatory



From IV-J

Details of Over the Counter (OTC) Contracts Executed by Electricity Traders In Inter- State Market

Name of Trading Licensee: Atria Energy Services Pvt. Ltd.

Contracted Week	From	To

Date:

Sl No	Contract Date	Purchase Price (Rs/kWh)	Sale Price (Rs/kWh)	Contracted Quantity (MU)	Period of Contract		Purchased from		Sold to	
					Power Schedule Start Date	Power Schedule End Date	Name	State	Name	State
A	Round The Clock									
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
B	Peak									
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
C	Other than RTC & Peak									
1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

For Atria Energy Services Pvt. Ltd.

[Signature]
Authorised Signatory

